



Image

01/08/04

3632

Docket No. 4448/Y01/CPS/IBSS/LAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Ronald Schauer and John Charles Davies  
Title: INSTALLATION DOCKING PEDESTAL FOR PRE-FACILITATION OF WAFER FABRICATION EQUIPMENT  
Serial No.: 09/706,435  
Filed: November 3, 2000  
Examiner: Naschica Sanders Morrison  
Group Art Unit: 3632

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with five cited references  
☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975550142US

Date of Deposit: 1/7/04

Signature: Brian M. Dugan

Respectfully submitted,

Brian M. Dugan  
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(914) 332-9081

Express Mail Label No. EL975550142US

PATENTS  
4448/Y01/CPS/IBSS/LAP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ronald Schauer and John Charles Davies  
Serial No. : 09/706,435  
Filed : November 3, 2000  
For : INSTALLATION DOCKING PEDESTAL FOR PRE-  
FACILITATION OF WAFER FABRICATION EQUIPMENT  
Group Art Unit : 3632

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 4,852,516, Rubin et al.

U.S. Patent No. 5,733,024, Slocum et al.

U.S. Patent No. 6,133,981, Semba

Foreign Art Reference No. EP 1 107 288 A2 (EPO)

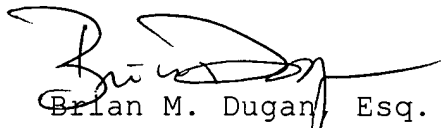
United States Patent Application Publication No.: US

2002/0084402 A1, dated July 4, 2002.

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", is written over the printed name.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
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Dated:

1/7/04  
Tarrytown, New York

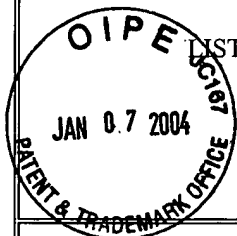
U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

4448/Y01/CPS/IBSS/LAP

Serial No.:

09/706,435



## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant(s):

Ronald Schauer and John Charles Davies

Filing Date:

11/03/00

Group:

3632

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,852,516	08/01/89	Rubin et al.			
	AB	5,733,024	03/31/98	Slocum et al.			
	AC	6,133,981	10/17/00	Semba			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## Foreign Patent Documents

## Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	AL	EP 1 107 288 A2	06/13/01	EPO			X	
	AM							
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	United States Patent Application Publication No.: US 2002/0084402 A1, dated July 4, 2002
	AS	
	AT	

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.